

<b>REISSUE APPLICATION BY THE ASSIGNEE, OFFER TO SURRENDER PATENT</b>		Docket Number (Optional) <b>005214.P001R</b>
<p>This is part of the application for a reissue patent based on the original patent identified below.</p>		
Name of Patentee(s): Kuriacose Joseph, et al.		
Patent Number 5,819,034	Date Patent Issued October 6, 1998	
Title of Invention Apparatus for Transmitting and Receiving Executable Applications as for a Multimedia System, and Method and System to Order an Item Using a Distributed Computing System		
<p>OpenTV, Inc. is the assignee of the entire interest in the original patent.</p> <p>I offer to surrender the original patent.</p> <p><input checked="" type="checkbox"/> A certificate under 37 CFR 3.73(b) is attached.</p> <p>I am authorized to act on behalf of the assignee.</p>		
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this declaration is directed.</p>		
Name of assignee OpenTV. Inc.		
Signature of person signing for assignee	Date	
Typed or printed name and title of person signing for assignee Umesh Desai, Associate General Counsel - IP		

005214.P001R

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Reissue Application of:	)	
	)	
Kuriacose JOSEPH, et al.	)	Examiner: Not yet assigned
	)	
Serial No.: New application	)	Art Unit: Not yet assigned
	)	
Filing Date: Herewith	)	
	)	
For: APPARATUS FOR TRANSMITTING	)	
AND RECEIVING EXECUTABLE	)	
APPLICATIONS AS FOR A	)	
MULTIMEDIA SYSTEM, AND	)	
METHOD AND SYSTEM TO ORDER	)	
AN ITEM USING A DISTRIBUTED	)	
COMPUTING SYSTEM	)	

Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATION UNDER 37 C.F.R. 3.73**

Sir:

OPENTV, INC. certifies that it has ownership of U.S. Patent no. 5,819,034, issued October 6, 1998 by way of an assignment to OPENTV, Inc. (Assignee) from THOMAS CONSUMER ELECTRONICS, INC. (Assignor), recorded September 27, 1999 at reel 010263, frame 0580, by way of an assignment from the inventors (Kuriacose Joseph, Ainsley Wayne Jessup, Jr., Vincent Dureau and Alain Delpuch (Assignors) to THOMAS CONSUMER ELECTRONICS, INC. (Assignee), recorded April 28, 1994 at reel 6978 and frame 0789.

OPENTV, INC.

Date: \_\_\_\_\_

By: \_\_\_\_\_

Umesh Desai  
Associate General Counsel - IP  
OpenTV, Inc.

DECLARATION AND POWER OF ATTORNEY FOR REISSUE PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is described and for which a reissue patent is sought on the invention entitled

APPARATUS FOR TRANSMITTING AND RECEIVING EXECUTABLE APPLICATIONS  
AS FOR A MULTIMEDIA SYSTEM, AND METHOD AND SYSTEM TO ORDER AN ITEM  
USING A DISTRIBUTED COMPUTING SYSTEM

the specification of which is attached hereto and was issued on October 6, 1998 as U.S. Patent no. 5,189,034 ("the original patent") based on serial no. 08/233,908 ("the application"), filed on April 28, 1994.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to the effective filing date, that the same was not in public use or on sale in the United States of America more than one year prior to the effective filing date, and that the invention was has not been patented or made the subject of an inventor's certificate issued prior to the effective filing date in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to the effective filing date.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>	
<u>Number</u>	<u>Country</u>	<u>Day/Month/Year Filed</u>	<u>Yes</u>	<u>No</u>
<u>Number</u>	<u>Country</u>	<u>Day/Month/Year Filed</u>	<u>Yes</u>	<u>No</u>
<u>Number</u>	<u>Country</u>	<u>Day/Month/Year Filed</u>	<u>Yes</u>	<u>No</u>

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below:

\_\_\_\_\_  
Application Number

\_\_\_\_\_  
Filing Date

\_\_\_\_\_  
Application Number

\_\_\_\_\_  
Filing Date

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

\_\_\_\_\_  
Application Number

\_\_\_\_\_  
Filing Date

\_\_\_\_\_  
Status -- patented,  
pending, abandoned

\_\_\_\_\_  
Application Number

\_\_\_\_\_  
Filing Date

\_\_\_\_\_  
Status -- patented,  
pending, abandoned

I verily believe the original patent to be wholly or partially inoperative by reason that the original patent claims less than I had a right to claim in the patent. The claims fail to cover embodiments of the invention and inventions as claimed in the above-identified reissue application. The error arose without any deceptive intention on my part. The error arose during the drafting of the application and during subsequent amendments in connection with the prosecution of the application which resulted in the issuance of the original patent. The error occurred as a result of the attorney prosecuting the application and I failing to appreciate the scope of the invention and/or to properly identify the invention(s). The error was discovered subsequent to issuance of the original patent during a review of the original patent by the assignee and/or its representatives. I further acknowledge my duty to disclose information which is material to the examination of the application under 37 CFR § 1.56.

I reserve the right to file broadening claims for the present reissue application beyond the two year limit, and in any continuation or divisional reissue application based on the present reissue application.

I hereby appoint the persons listed on Appendix A hereto (which is incorporated by reference and a part of this document) as my respective patent attorneys and patent agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Send correspondence to André L. Marais, BLAKELY, SOKOLOFF, TAYLOR &  
(Name of Attorney or Agent)  
ZAFMAN LLP, 12400 Wilshire Boulevard 7th Floor, Los Angeles, California 90025 and direct  
telephone calls to André L. Marais, (408) 720-8300.  
(Name of Attorney or Agent)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these

States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor Kuriacose JOSEPH

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

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Full Name of Second/Joint Inventor Ansley Wayne JESSUP, Jr.

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

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Full Name of Third/Joint Inventor Vincent DUREAU

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

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Mountain View, CA 94043-4005

Full Name of Fourth/Joint Inventor Alain DELPUCH

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

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APPENDIX A

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## APPENDIX B

### Title 37, Code of Federal Regulations, Section 1.56 Duty to Disclose Information Material to Patentability

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
  - (i) Opposing an argument of unpatentability relied on by the Office, or
  - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
  - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

005214.P001R

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Reissue Application of:	)	
	)	
Kuriacose JOSEPH, et al.	)	Examiner: Not yet assigned
	)	
Serial No.: New application	)	Art Unit: Not yet assigned
	)	
Filing Date: Herewith	)	
	)	
For: APPARATUS FOR TRANSMITTING AND	)	
RECEIVING EXECUTABLE APPLICATIONS	)	
AS FOR A MULTIMEDIA SYSTEM, AND	)	
METHOD AND SYSTEM TO ORDER AN	)	
ITEM USING A DISTRIBUTED COMPUTING	)	
SYSTEM	)	

Assistant Commissioner for Patents  
Washington, D.C. 20231

**APPOINTMENT OF ASSOCIATE ATTORNEY**

Sir:

I hereby appoint André L. Marais as my associate attorney in the above-entitled application, to prosecute this application, to make alterations and amendments therein, and to transact all business in the Patent and Trademark Office connected therewith.

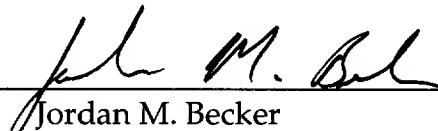
Please continue to address all future communications to Blakely, Sokoloff, Taylor & Zafman LLP, 12400 Wilshire Blvd., Seventh Floor, Los Angeles, CA 90025-1026.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP

Date: 9/27, 2000

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\_\_\_\_\_  
Jordan M. Becker  
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